PTO/SB/21 (09-04)
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| OF | | Application Number | 10/086,103 | | | | | |
|--|-----------------------------------|---|---|--|--|--|--|--|
| ON ETRANSMITTAL | | Filing Date | February 27, 2002 | | | | | |
| DEC 2 1 2005 g | | First Named Inventor | Nina LEWIS, et al. | | | | | |
| . <i>KI</i> | | Art Unit | 2161 | | | | | |
| Total Number of Pages in This Submission | l filing) | Examiner Name | Cam Linh T. Nguyen | | | | | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | 2161 | | | | | |
| | ENCLOSURES (check all that apply) | | | | | | | |
| Fee Transmittal Form | ☐ Drawin | g(s) | After Allowance Communication to Group | | | | | |
| Fee Attached | Licensi | ng-related Papers | Appeal Communication to Board of Appeals and Interferences | | | | | |
| Amendment / Reply | Petition | 1 | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | |
| After Final | | n to Convert to a onal Application | Proprietary Information | | | | | |
| Affidavits/declaration(s) | | of Attorney, Revocation e of Correspondence Address | Status Letter | | | | | |
| Extension of Time Request | | al Disclaimer | Other Enclosure(s) (please identify below): | | | | | |
| Express Abandonment Request | | st for Refund | PTO/SB/08a (1 page); and Postcard Receipt. | | | | | |
| Information Disclosure Statement | | | | | | | | |
| Certified Copy of Priority Document(s) | | rks | | | | | | |
| Response to Missing Parts/ Incomplete Application | - | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | | |
| SIGNAT | TURE OF | APPLICANT, ATTORNEY, O | R AGENT | | | | | |
| Firm or Individual name Peter C. Mei Bingham McCutchen L | LP | | | | | | | |
| Signature | | | | | | | | |
| Date 12/16/05 | | | | | | | | |
| CERTIFICATE OF MAILING | | | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | | | |
| Typed or printed name Veronica Douc | et _ | 4 | , / | | | | | |
| Signature //QUOX | | Dones | Date Date Date the public which is to file (and by the USPTO to | | | | | |

Inis collection or information is required by 37 CFR 1.3. The information is required to obtain or retain a benefit by the public which is to the land by the USFTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USFTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Nina LEWIS et al.

Serial No.: 10/086,103

Filed: February 27, 2002

For:

METHOD AND SYSTEM FOR

IMPLEMENTING SHARED SCHEMAS

FOR USERS IN A DISTRIBUTED

COMPUTER SYSTEM

E----in--- Com Link

Group Art Unit: 2171

Examiner: Cam Linh T. Nguyen

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the item identified in this Supplemental Information Disclosure Statement ("IDS") is brought to the attention of the Office. The item is listed on the attached form PTO/SB/08a.

The item identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

1. Timing of the Information Disclosure Statement:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of

| a first (| Office ac | tion after filing a request for continued examination under § 1.114. Thus, no fee is | | | | |
|-------------|---|---|--|--|--|--|
| require | d. | | | | | |
| | | However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below. | | | | |
| | | However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required. | | | | |
| | This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office A | | | | | |
| on the | merits, b | ut before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR | | | | |
| § 1.311 | l . | | | | | |
| | | The fee due under 37 CFR § 1.17(p) is submitted herewith. | | | | |
| | | A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below. | | | | |
| \boxtimes | This ID | S is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR | | | | |
| § 1.113 | or a No | tice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement | | | | |
| under 3 | 37 CFR § | 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith: | | | | |
| | This ID | S is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR | | | | |
| § 1.113 | or a No | tice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. | | | | |
| | | STATEMENT UNDER 37 CFR § 1.97(e): | | | | |
| | Some it | tems contained in this IDS were cited in a communication from a foreign patent office in a | | | | |
| counter | part fore | eign application not more than three months prior to the filing of this IDS. | | | | |
| \boxtimes | No item contained in this IDS was cited in a communication from a foreign patent office in a | | | | | |
| counter | part fore | eign application, and, to the knowledge of the person signing this statement after making | | | | |
| reasona | ıble inqu | iry, no item of information contained in this IDS was known to any individual designated | | | | |
| in 37 C | FR § 1.5 | 66(c) more than three months prior to the filing of this IDS. | | | | |
| 2. Cop | ies of th | e Cited Items: | | | | |
| | Copies | of all of the items listed on the attached forms PTO/SB/08a and PTO/SB/08b are enclosed. | | | | |
| \boxtimes | Pursuar | nt to 37 CFR § 1.98(a)(2)(i), copy of the following item identified on form PTO/SB/08a | | | | |
| | under tl | ne heading U.S. Patent Documents (Item Nos. 1) is not attached. | | | | |

| | Copies of only the following items listed on the attached forms PTO/SB/08a (Item *) and |
|-------------|--|
| | PTO/SB/08b (Items *) are enclosed. |
| | Copies of the following documents listed in PTO/SB/08a (Item Nos. *) and copies of the |
| | following documents listed in PTO/SB/08b (Item Nos. *) were previously cited by the Office or |
| | submitted in Information Disclosure Statements in related applications: See 37 C.F.R. § 1.98(d). |
| | The Examiner is requested to make these documents of record. |
| 3. Co | ncise Explanation of Relevance: |
| \boxtimes | A concise explanation of relevance of the items listed on forms PTO/SB/08a and PTO/SB/08b is |
| | not given. |
| | A concise explanation of relevance of some of the items listed on forms PTO/SB/08a and |
| | PTO/SB/08b is in the form of an English language copy of a Search Report from a foreign patent |
| | office, issued in a counterpart application, which refers to the relevant portions of the references |
| | (copy attached). |
| 4. Re | lated Applications: |
| \boxtimes | Applicant(s) bring to the Office's attention the following related, application(s): |

5. Conclusion:

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

U.S. Serial No. 09/342,312 filed June 29, 1999 now U.S. Patent No. 6,745,332.

- a representation that a search has been made, other than as described above; and
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08a with initials or other appropriate marks. In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-2518**

referencing <u>7010852002</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated:

12/14/05

Respectfully submitted,

Peter C. Mei

Registration No. 39,768

Bingham McCutchen LLP Three Embarcadero Center, Suite 1800 San Francisco, California 94111-4067

Telephone: (650) 849-4870 Facsimile: (650) 849-4800

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

om1449A-PTO

(use as many sheets as necessary)

Sheet 1

| Complete if Known | | | |
|-------------------------------|-----------------------|--|--|
| Application Number 10/086,103 | | | |
| Filing Date | February 27, 2002 | | |
| First Named Inventor | Nina LEWIS | | |
| Art Unit | 2161 | | |
| Examiner Name | Cam Linh T. Nguyen | | |
| Attorney Docket Number | 270/074; OI7010852002 | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------|---|------------------------------|--|---|--|
| Examiner Initials* | Cite No.1 | Document Number Number – Kind Code ² (if known) | Publication Date MM-DD-YY | Name of Patentee or Application of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | 1 | US- 6,158,010 | 12-05-2000 | Moriconi et al. | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|------------------|---|------------------------------|---|--|---|
| Examiner | Cite | Foreign Patent Document | Publication Date MM-DD-YY | Name of Patentee or Application of Cited Document | Pages, Columns, Lines, | |
| Initials* | No. ¹ | Country Code ³ – Number ⁴ – Kind Code ⁵ (if known) | | | Where Relevant Passages or Relevant Figures Appear | |
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| Examiner's Signature | Date Considered | |

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2.0 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation